

INVESTOR ACCOUNT OPENING FORM (for Individuals)


Serial No.*
Date

INFORMATION ABOUT THE PRINCIPAL ACCOUNT HOLDER

NAME (MR./MS./MRS.)		Specimen signature	
FATHER'S/HUSBAND'S NAME			
ADDRESS			
City	Country	Nationality	Phone No. (Off.) (Res.)
Mobile No.	Occupation		E - mail
ID (NIC/ Passport No.)**		Zakat deduction	Yes No (Declaration attached)

JOINT HOLDERS (if any)

Name: Mr./Ms./Mrs.		Specimen signature
NIC/ Passport No.		
Name: Mr./Ms./Mrs.		Specimen signature
NIC/ Passport No.		
Name: Mr./Ms./Mrs.		Specimen signature
NIC/ Passport No.		

INSTRUCTIONS TO OPERATE THE ACCOUNT/REDEMPTION OF UNITS

Jointly by all signatories
 Jointly by any three
 Jointly by any two
 Any one

INFORMATION ABOUT NOMINEE (S)* - not applicable in case of Joint Holder(s)**

Name: Mr./Ms./Mrs.		Share (%)	Relationship with Principal Account Holder
NIC/ Passport No.		<input type="checkbox"/>	
Name: Mr./Ms./Mrs.		Share (%)	
NIC/ Passport No.		<input type="checkbox"/>	

BANK DETAILS (for Redemption / Dividend mandate)
FOR BANK ALFALAH LIMITED CREDIT CARD HOLDER ONLY ****

Account Title	Credit Card No.
Account No.	
Bank Name & Branch	(I hereby authorize Bank Alfalah Limited to debit my credit card account against purchase of units through Card Authorization Centre) <input type="checkbox"/> YES
Bank Address	

REQUIREMENT OF DIVIDEND DISTRIBUTION

Please reinvest my dividend(s)
 Please encash my bonus units on the day following the bonus issue and transfer the proceeds to my above bank account

STATEMENTS

Monthly
 Quarterly
 Half-yearly
 Yearly

Statements will be sent to Principal Account Holder only

DECLARATION & AUTHORIZATION

I/We hereby acknowledge of having read and understood the relevant Trust Deed, Offering Document and the Guidelines that govern this transaction and further acknowledge understanding of the risks involved.

Signature / Signatures _____

TO BE FILLED IN BY THE APPLICANT'S BANKER IN CASE THE APPLICANT IS UNABLE TO SIGN THE FORM

I, _____ Manager of _____ (the "Bank") certify that to the best of my knowledge and belief, the declaration of or on behalf of the applicant given in this Form is correct.

 Manager's Signature / Bank stamp

Note: The contents of this Form and the Guidelines attached hereto, were read over and explained to the Applicant(s) in urdu/native language and he/she/they appeared to have fully understood the same.

FOR OFFICIAL USE ONLY

FACILITATOR INFORMATION	
Facilitator Code	Remarks / Instructions
	Signature

FOR DISTRIBUTOR / SALES REPRESENTATIVE USE

Distributor Code	Form Received on	Data & Attachments Verified	Authorized Signature
		<input type="checkbox"/> Yes <input type="checkbox"/> No	
Remarks			

FOR REGISTRAR USE

Form Received on	Data Input Date	Data & Attachments Verified	Reg. No. Allotted*	Authorized Signature
		<input type="checkbox"/> Yes <input type="checkbox"/> No		
Remarks				

*Serial No. and Registration No. will be auto generated.

**Please attach certified true copies of National Identity Card (NIC)/Passport. Pakistani Nationals are required to submit certified true copy of NIC whereas Foreign Nationals are required to submit a copy of Passport duly certified by their Embassy(ies).

***Subject to the requirement of obtaining succession /death certificate, as applicable at the discretion of the Management Company.

****Bank Alfalah Ltd., Credit Card Customers can also purchase units through their Credit Cards by calling UAN No. 111-090-090

Note: The unit holder should either mark all empty spaces in the Form "Void" or cross (X) out.

GUIDELINES FOR FILLING OUT INVESTOR ACCOUNT OPENING FORM (for Individuals)
(FORM AGIM – 01)

This Form is a one-time requirement and is required from Individual Investors only at the time of creation of account within the Unit Holder Register. Please complete the Investor Account Opening Form (for Individuals) in Block Letters and write with a ball pen.

Information about the Principal Account Holder

i) The following personal information of the Principal Account Holder is required under this section:

- Name/Father's/Husband's name.
- Address/ Nationality/Contact number(s)/Occupation.
- Details of identification i.e. National Identity Card/Passport no. certified as true copies by a Notary Public or a First Class Gazetted Officer are required. Pakistani Nationals are required to submit certified true copy of NIC whereas Foreign Nationals are required to submit a copy of Passport duly certified by their Embassy(ies).
- Declaration of whether or not Zakat is to be deducted is required. If the option is selected as 'NO', the Zakat Declaration CZ-50 under Zakat and Ushr Ordinance, 1980, needs to be attached.
- Details of Joint Holder(s), their name(s), their identification i.e. National Identity Card/Passport no. (Certified as true copies by a Notary Public or a First Class Gazetted Officer), their specimen signatures. (Please note that Joint Holders can be introduced only in case when there is no Nominee available).

ii) At any point in time, the maximum number of Account Holder(s) including Joint Holder(s) will not exceed four (04) in numbers. Hence, for the purpose of applying for Redemption of units, following four (04) options are being introduced in this Form:

Specific instructions for any one of the aforesaid options is required.

iii) Nominee(s) can be nominated only by the Principal Account Holder when there are no Joint Holder(s). The maximum number of Nominee(s) can be two (02) in number with their respective shares mentioned in percentage against their respective names. Following details are required for this purpose:

- Name of Nominee(s)
- Details of identification i.e. National Identity Card/Passport no. certified as true copies by a Notary Public or a First Class Gazetted Officer are required.
- Relationship with Principal Account Holder.

Bank Details (for Redemption/Dividend mandate) / For Bank Alfalah Credit Card Holder only

iv) In order to receive proceeds as a result of Redemption of Units and Dividend(s) from Alfalah GHP Value Fund (AGV), bank details of the Principal Account Holder is required which includes the following:

- Bank Account Title and its Number.
- Name/Address of the Bank along with its Branch.

Please note that any error in filling this information may cause delay in transfer of Funds, in case of Redemption request made by Account Holder(s).

v) Alfalah GHP Investment Management Limited (AGIM) has made special arrangement with Bank Alfalah Limited (BAL) to provide facility for their Credit Card Customers to purchase Alfalah GHP Value Fund (AGV) Units. The said credit card holders will only have to submit Investor Account Opening Form for the purpose of creation of their account in the Unit Holder Register. The following will be the procedures for the Purchase of Units by Card Holders of BAL:

- Submission of Investor Account Opening Form at any selected branch of BAL (along with additional information of BAL Credit Card). – Day 0
- Purchasing Units of AGV by calling Card Authorization Centre of BAL through a pre-announced UAN 111-225-786 within 36 hrs starting from receiving the acknowledgment investor copy of Investor Account Opening Form AGIM-01 Day 2 Net Asset Value (NAV) of Day 2 shall be applied on such purchases.

To facilitate the Account Setup, the only additional information is their Credit Card Number with an authority in favour of BAL for debiting their account against the purchase of units, which is mandatory.

Requirement of Dividend Distribution

vi) In this section, the Investor is given following options of receiving dividends, however, by default dividends will always be transferred to bank account as mentioned in clause (iv) of these guidelines.

- Reinvestment of dividends in the existing balance of units.
- Automatic encashment of bonus units on the day following the Bonus Issue and transferring of proceeds to already specified bank account as mentioned in clause (iv) of these guidelines.

Specific instructions for any one of the aforesaid options is required.

Statements

vii) In this section the Investor will tick-off specific instruction's check box for instructing AGIM about his/her Account Statement requirement. This statement will be sent to Principal Account Holder only.

Declaration & Authorization

viii) As per the instructions given in respect of operation of account through Investor Account Opening Form (AGIM – 01), all the required Authorized Signatories (including Joint Holder(s) in case of Individual Investors) will have to sign this Form before submitting at any selected branch of BAL.

ix) In case the Unit Holder is unable to sign the Form, then he/she is required to submit a clear copy of NIC with his/her thumb impression on it and the same should be affixed on the Form as well. The Form should be authenticated by his/her banker and the applicant is also required to attach a recent duly attested passport size photograph with it.

x) The Unit Holder should either mark all empty spaces in the Form "Void" or cross (X) out.

xi) It shall be responsibility of the applicant to pay all stamp and other duties, taxes and processing charges in relation to the units to be acquired by him/her.

Death of Unit Holder

xii) At the time of submission of this Form, clear instruction regarding the operation of the Account has to be specified by the Unit Holder(s) by selecting any one option out of four options provided in the Form (refer clause (ii) as above).

In the case of death of any Account Holder including Joint Holder(s) out of the required Authorized Signatories, AGIM will not be obliged to allow the transfer of title, Redemption of Units and pay out of any dividends without the production of Death Certificate/Succession Certificate as applicable. In case of Succession Certificate, the names of legal heirs of the deceased as beneficiaries/successors should be mentioned on the Succession Certificate and the onus of proof for the entitlements/ownership will be upon them. AGIM will not be concerned with or involved in any disputes, differences or controversies among the legal heirs/beneficiaries and/or nominees under any circumstances whatsoever.

In the event of any conflict between these Guidelines and the Trust Deed and/or the Offering Document, the provisions of the Trust Deed and/or the Offering Document, as the case may be, shall prevail.

If you need any assistance or require additional information, please e-mail to info@alfalahgdp.com
or call the AGIM – Call Centre UAN 111-090-090 or Call the AGIM – Registrar at 92-21-9217602