

Principal Account Holder's Information

Form Number: _____

Name Mr. / Mrs. / Ms. / M/s. _____ Date dd/mm/yy _____
CNIC / NICOP / Passport No. _____ Investor Account Number _____
Leave blank in case of initial investment

Payment Details

Mode of Payment Cheque PayOrder Demand Draft

Payment Instrument Details

Drawn on (Bank) Instrument No. _____
Name of the Bank Branch City _____

Investment Details

Name of Fund	Type of Units	Unit Class	Amount in PKR
<input type="checkbox"/> Lakson Income Fund	<input type="checkbox"/> Growth <input type="checkbox"/> *Flexible Income <input type="checkbox"/> **Fixed Income		
Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Income Fund'			
<input type="checkbox"/> Lakson Money Market Fund	<input type="checkbox"/> Growth <input type="checkbox"/> *Flexible Income <input type="checkbox"/> **Fixed Income		
Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Money Market Fund'			
<input type="checkbox"/> Lakson Equity Fund	<input type="checkbox"/> Growth		
Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Equity Fund'			
<input type="checkbox"/> Lakson Tactical Fund <small>(Formerly Lakson Asset Allocation Global Commodities Fund)</small>	<input type="checkbox"/> ***Growth <input type="checkbox"/> ****Income		
Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Tactical Fund'			
<input type="checkbox"/> Lakson Asset Allocation Developed Markets Fund	<input type="checkbox"/> Growth <input type="checkbox"/> *Flexible Income <input type="checkbox"/> **Fixed Income		
Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Asset Allocation Developed Markets Fund'			
<input type="checkbox"/> Lakson Islamic Tactical Fund <small>(Formerly Lakson Asset Allocation Emerging Markets Fund)</small>	<input type="checkbox"/> Growth <input type="checkbox"/> *Flexible Income <input type="checkbox"/> **Fixed Income		
Please make Cheque (account payee only) / Payorder / Demand Draft favouring 'CDC-Trustee Lakson Islamic Tactical Fund'			
Total Amount in words <input type="text"/>		Total	<input type="text"/>

Dividend Mandate / Income Units - LTF / LITF

Transfer my cash dividend to my Bank Account Issue a cheque for my cash dividend

Flexible Income Units

Fixed Income Unit

I authorize Lakson Investments Limited to redeem my units to pay my income at regular intervals based on the below marked instructions.

Please Tick One: Monthly Quarterly Half Yearly Annually Please specify amount PKR _____

Systematic Conversion*****

I authorize Lakson Investments Limited to convert my units from _____ to _____ at regular intervals based on the below marked instructions.

Please Tick One: Monthly Quarterly Half Yearly Annually Fixed Amount Conversion Please specify amount PKR _____
 Regular Profit Conversion

Units-Mode of Holding

Account Statement Unit Certificate

Declaration

I/We hereby acknowledge having read in full and understood the relevant Trust Deeds, Offering Documents, Supplementary Offering Documents and Fund Manager Report / Fact Sheet if any that govern this transaction and further acknowledge having understood in full the risks involved.

Signature Signature Signature Signature Rubber Stamp (Institutional Client only) _____

Cooling off Right Declaration (For Individual Unit Holders Only)

I/We hereby acknowledge having read in full and understood the company procedure for exercising my cooling off right.

Signature Signature Signature Signature _____

For Official Use Only

Distributor / Sub-Distributor / Facilitator

Code Form No. Date Time AM PM Form Received dd/mm/yy hh/mm Authorised Person's Name Signature and Stamp

Transfer Agent

Date Time AM PM Form Received dd/mm/yy hh/mm Form and Attachment Verified By Data Input By Data Verified By Investor Account No Allotted

Client Receipt

Form Received Date Time dd/mm/yy AM PM Signature of Authorized Person Form Number Stamp of Authorized Branch
Mode of Payment: Cheque PayOrder Demand Draft



Please read the guidelines below before completing this form

☛ **General Instructions**

- ☛ Please fill this form in BLOCK LETTERS.
- ☛ Upon completion and submission of this form you will be provided a receipt, please retain and ensure that it is signed and stamped by the TL (Transaction Location) representative.
- ☛ It is the responsibility of the client(s) to pay all stamp duties, taxes, and processing charges (if any) upon submission of this form.
- ☛ For additional details please refer to the Offering Documents or call us at +92.21.111-LAKSON (111-525-766).

☛ **Detailed Instructions**

Principal Account Holder Information

- ☛ In the case of existing Unit Holder please specify your Account Number.

Investment Details

- ☛ Payments shall be made via cross cheque(s), debit instructions, pay order(s) or demand draft(s) in favor of "CDC -Trustee name of Fund" (e.g CDC – Trustee Lakson Income Fund).
- ☛ Cash shall NOT be accepted at any TL (Transaction Location), however investors may deposit cash at authorized banks and submit the deposit slip along with the Investment Form.
- ☛ Please ensure that the payment instrument number is clearly stated to avoid any discrepancies.
- ☛ If payment instrument is not realized due to any reason(s), the investment application shall be rejected after duly informing the investor.

Growth Unit

- ☛ Minimum initial investment for Growth Units is PKR 1,000/- and minimum subsequent investment is PKR 1,000/-.
- ☛ The Unit Value grows in line with the growth in the NAV, and the Unit Holders shall have the option to receive distribution income in the form of cash or bonus units, as and when declared.

Income Unit

- ☛ Minimum initial investment for Income Units is PKR 100,000/- and minimum subsequent investment is PKR 1,000/-
- ☛ *Flexible Income Units (Periodic payment based on performance of the fund).
- ☛ **Fixed Income Units (Periodic payment is based on requirement of the investor). In the case of Fixed Income Units, the capital invested may deplete in the case sufficient returns are not earned to cover the amount required by the Unit Holder.

LTF/LITF - Growth Units***

- ☛ The Unit value grows in line with the growth in NAV, and the Unit Holders shall receive additional units at prevailing price, after adjusting for taxes against Cash Dividend (if any) at the time of distribution. Additionally, the Management Company may also decide to distribute income (if any) in the form of bonus units which shall be growth units of the Fund.

LTF/LITF - Income Units****

- ☛ The Unit value grows in line with the growth in NAV, and the Unit Holders shall receive distribution income in the form of cash, if any distribution announced by the Fund. Additionally, the Management Company may also decide to distribute income (if any) in the form of bonus units which shall be income units of the Fund.

Systematic Conversion*****

- ☛ Lakson Investments offers the facility to avail Systematic Conversion option for selected schemes (terms and conditions apply). If you are investing in a scheme which offers this facility you can avail this option by filling out this section.

Fixed Amount Conversion Option

- ☛ The Unit Holder can opt to convert fixed amount from the Fund into another Fund offered by the Management Company and having same Trustee at predefined intervals (i.e. monthly, quarterly, semi-annually, or annually).

Regular Profit Conversion Option

- ☛ The Unit Holder can opt to convert the profit amount of their investment in the Fund to another Fund offered by the Management Company and having same Trustee, at predefined intervals (i.e. monthly, quarterly, semi-annually, or annually).

Units-Mode of Holding

- ☛ Units shall be issued in registered, un-certificated form and shall be confirmed by an account statement issued by the Transfer Agent.
- ☛ Unit Certificate(s) shall be issued only if requested through Certificate Processing Form on payment of PKR 25/- per certificate. Payment for Certificate (s) may be combined with the payment for Unit(s). Unless indicated by the applicant, one Jumbo Certificate shall be issued.
- ☛ Please submit a completed 'Certificate Processing Form' if you require a Unit Certificate.

Declaration

- ☛ Signature of the Principal Account Holder and / or of All Joint Holders is required.
- ☛ In the case of Institution / Trust / NGO etc. company stamp is required.

☛ **Cooling Off Right Procedure (For Individual Unit Holders Only)**

- ☛ By exercising cooling off right, individual unit holders may obtain a refund of their first investment in a CIS.
- ☛ Unit holders can submit their written request for exercising cooling off right via email/ a letter within three business days from the receipt of the statement of account.
- ☛ The AMC shall refund refund an amount equal to NAV per unit applicable on the date the cooling-off right is exercised and any sales load charged by the AMC.

LAKSON INVESTMENTS LIMITED

Thank you for investing with Lakson Investments Limited, please retain this receipt for your records

Lakson Square, Building No 2, Sarwar Shaheed Road, Karachi 74200.

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